

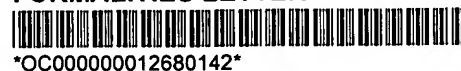
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/785,364	02/23/2004	James E. Greenwood	37861-193972

CONFIRMATION NO. 8973

FORMALITIES LETTER



OC000000012680142

Paul Carango
 DRINKER BIDDLE & REATH LLP
 One Logan Square
 18th & Cherry Streets
 Philadelphia, PA 19103-6996

Date Mailed: 05/17/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

- \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents

09/02/2004 HTECKLU1 00000048 10785364

02 FC:2051

65.00 OP

09/02/2004 HTECKLU1 00000048 10785364

P.O. Box 1450

01 FC:2252

210.00 OP

Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



PATENT

Attorney Docket No.: 37861-193972

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of :
James E. Greenwood, et al. :
Serial No.: 10/785,364 : Group Art Unit:
3624
Filing Date: February 23, 2004 :
Examiner:
Not Yet Assigned
For: RISK MANAGEMENT SYSTEM :

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This is in response to the Notice to File Missing Parts of Nonprovisional Application, which was mailed from the U.S. Patent and Trademark Office on May 17, 2004. Per the petition and fee submitted herewith, applicant invokes the benefit of 37 CFR 1.136 to secure a two-month extension of time up to and including September 17, 2004. The following are submitted herewith:

**CERTIFICATE OF MAILING
UNDER 37 C.F.R. 1.8(a)**

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

BY

Devin R. Collins

DATE:

August 31, 2004

1. Copy of the aforesaid *Notice*;
2. A Declaration and Power of Attorney executed by the inventors; and
3. A check in the amount of \$275.00 as set forth under 37 C.F.R. 1.16(e) and 37 CFR 1.17(a)(1).

Please charge any fee deficiency required by this paper or credit any amount paid in excess to account no. 50-0573.

Respectfully submitted,

James E. Greenwood, et al

BY: 

JOSEPH R. DELMASTER, JR.

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